

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Kazutaka SHIBATA

Application No.: 09/588,628

Filed: June 7, 2000

For: SEMICONDUCTOR CHIP WITH EXTERNAL CONNECTING TERMINAL (AS AMENDED)

Attorney Docket No.: ROH-023

Examiner: N. Ha

Art Unit: 2814

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08A is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56.

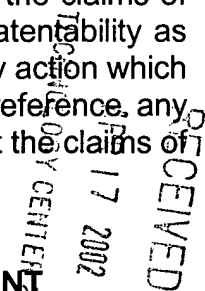
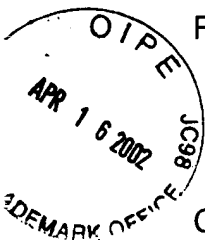
- ☒ A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.
- ☐ As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. _____, filed _____ of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference, any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

CONCISE EXPLANATION OF RELEVANCY OF THE DOCUMENT

(Fill out if no English translation, partial translation or English abstract is available)

- ☐ Any document having neither English translation nor English abstract has been cited in a communication from a foreign patent office in a counterpart foreign application or its related application, a copy of which is attached hereto, accompanied by English translation of at least relevant part, if it is not in English. English translation of the document is not readily available; however, the absence of such translations does not



relieve the PTO from its duty to consider the submitted document (37 C.F.R. §1.98 and MPEP §609).

- ☐ The relevance of a document having no English translation or abstract is explained in the parent application above.
- ☐ _____.
- ☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application, or (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☐ c. Please charge the amount of _____ in payment of the fee under 37 CFR §1.17(p) to Deposit Account No. 18-0013.
- ☒ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Please charge the amount of **\$180.00** in payment of the fee under 37 CFR §1.17(p) to Deposit Account No. 18-0013. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.
- ☒ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a

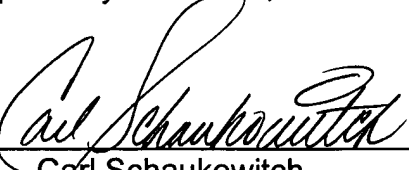
foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☐ 4. The reference(s) was/were cited in a counterpart foreign application. An English language version of the European or International Search Report is attached for the Examiner's information.
- ☐ 5. In the event any fees are due with this paper, please charge our Deposit Account No. 18-0013.
- ☒ 7. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

Respectfully submitted,

Date: April 16, 2002

By:


Carl Schaukowitch
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Enclosure(s): Form PTO/SB/08A (listing and enclosing eight (8) reference)

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